

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Jennifer MEAD et al.

Group Art Unit: 2164

Serial No. 09/255,737

Examiner: J. Bergin

Filed: February 23, 1999

Attorney Docket: 03797.77744

For: SYSTEM AND METHOD FOR PROVIDING AUTOMATED INVESTMENT ALERTS
FROM MULTIPLE DATA SOURCES

AMENDMENT UNDER 37 C.F.R. 1.111

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Kindly enter the following amendment and remarks responsive to the Official Action
dated June 6, 2001.

IN THE SPECIFICATION:

Page 13, paragraph 3 (starting at line 21) and bridging page 14), please delete in its
entirety and replace with the following:

-- Top Alerts represent the most timely and relevant alerts and can be displayed at the
top of the page. In one embodiment, Top Alerts can appear for 48 hours after firing, after which
they will be moved to their regular category displayed below the Top Alerts display area. In
addition to time limits on Top Alerts, an alert can be made to expire according to a predefined
expiration date after it is moved to its regular category. News Alerts represent information
appearing in published materials concerning an investment. Research alerts indicate specific
financial information that is more likely to be understood by sophisticated investors. For
example, "The price-earnings (P/E) ratio for MSFT has been greater than two times its average
next year's projected earnings growth rate. For small and mid-cap stocks in particular, this is
generally considered as a sign that a company may be overvalued." --